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PATENT  
Customer No. 22,852  
Attorney Docket No. 08806.0173

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
)  
Håkan SWAHN et al. ) Group Art Unit: 2834  
)  
Application No.: 10/522,606 ) Examiner: Unknown  
)  
Filed: January 28, 2005 ) Confirmation No.: 8947  
)  
For: ELECTRIC MACHINE )  
)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents on the attached listing. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application. Copies of the listed foreign and non-patent literature documents are attached.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form. English abstracts for the non-English documents are enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the

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documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

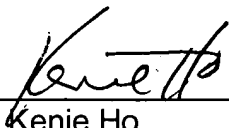
Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present Application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: March 24, 2006

By:   
Kenie Ho  
Reg. No. 51,808

Complete if Known

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of

1

Application Number

10/522,606

Filing Date

January 28, 2005

First Named Inventor

Hakan SWAHN et al.

Art Unit

2834

Examiner Name

Unassigned

Attorney Docket Number

08806.0173-00000

## U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS

Examiner Initials	Cite No. <sup>1</sup>	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US 4,743,818	May 10, 1988	Westinghouse Electric Corp.	
		US 4,547,826	Oct. 15, 1995	General Electric Company	
		US 5,436,784	July 25, 1995	Schweitzer Eng. Lab. Inc.	
		US 6,112,554	Sep. 5, 2000	Glaverbel	
		US 4,573,132	Feb. 25, 1986	Canadian General Electric Co., LTD	
		US 5,539,601	July 23, 1996	Siemens Energy & Automation, Inc.	
		US 5,057,962	Oct. 15, 1991	General Electric Company	

**Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.**

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		JP 1115093	June 6, 1999	Hitachi Ltd.		Abstract
		JP 2000274962	Oct. 6, 2000	Toshiba Corp.		Abstract

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>6</sup>
		J. T. BOYS et al., "Empirical thermal model for inverter-driven case induction machines." IEE Proc.-Electr. Power Appl., Vol. 141, No. 6, Pg. 360-372, November 1994.	
		ALEXANDER MURDOCH et al., "Excitation System Protective Limiters and Their Effect on Volt/Var Control-Design, Computer Modeling, and Field Testing," IEEE Transactions on Energy Conversion, Vol. 15, No. 4, Pg. 440-450, December 2000.	

Examiner  
SignatureDate  
Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.